

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Matthew Tae
Account	DU189894
Account Type	Individual
Customer Type	Individual
Account Capabilities	Reg T Margin
Trading Permissions	Stocks,Options,Warrants,Bonds,Leveraged Forex,Mutual Funds
Base Currency	USD

Net Asset Value

	December 31, 2014		January 2, 2015			Change	Change in NAV		Total
	Total	Long	Short	Total	Starting Value		Mark-to-Market		
Cash	148,310.01	8,673.01	0.00	8,673.01	-139,637.00	148,310.01	-639.00	148,310.01	
Stock	0.00	138,992.00	0.00	138,992.00	138,992.00		-6.00		
Total	148,310.01	147,665.01	0.00	147,665.01	-645.00			147,665.01	
Time Weighted Rate of Return						-0.43%			

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Transaction	Mark-to-Market P/L				Total
	Prior	Current	Prior	Current		Prior Open	Commissions	Other		
Stocks										
SPY	0	600	--	205.4300	-546.00	0.00	-3.00	0.00		-549.00
XLK	0	100	--	41.2700	-34.00	0.00	-1.00	0.00		-35.00
XLU	0	100	--	47.4400	11.00	0.00	-1.00	0.00		10.00
XLV	0	100	--	68.6300	-70.00	0.00	-1.00	0.00		-71.00
Total					-639.00	0.00	-6.00	0.00		-645.00
Total (All Assets)					-639.00	0.00	-6.00	0.00		-645.00

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	
Stocks												
SPY	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-549.00	0.00	0.00	-549.00	-549.00
XLK	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-35.00	0.00	0.00	-35.00	-35.00
XLU	0.00	0.00	0.00	0.00	0.00	0.00	10.00	0.00	0.00	0.00	10.00	10.00
XLV	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-71.00	0.00	0.00	-71.00	-71.00
Total	0.00	0.00	0.00	0.00	0.00	0.00	10.00	-655.00	0.00	0.00	-645.00	-645.00
Total (All Assets)	0.00	0.00	0.00	0.00	0.00	0.00	10.00	-655.00	0.00	0.00	-645.00	-645.00

Cash Report

	Total	Securities	Futures
Base Currency Summary			
Starting Cash	148,310.01	148,310.01	0.00
Commissions	-6.00	-6.00	0.00
Net Trades (Purchase)	-139,631.00	-139,631.00	0.00
Ending Cash	8,673.01	8,673.01	0.00
Ending Settled Cash	-609,323.94	-609,323.94	0.00

DEMO ACCOUNT - SIMULATED TRADING

Open Positions

Symbol	Open	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks									
USD									
SPY	-	600	1	206.3450	123,807.00	205.4300	123,258.00	-549.00	
SPY	2015-01-02, 09:30:13	200		206.3450	41,269.00	205.4300	41,086.00	-183.00	
SPY	2015-01-02, 09:30:13	400		206.3450	82,538.00	205.4300	82,172.00	-366.00	
XLK	-	100	1	41.6200	4,162.00	41.2700	4,127.00	-35.00	
XLK	2015-01-02, 09:30:16	100		41.6200	4,162.00	41.2700	4,127.00	-35.00	
XLU	-	100	1	47.3400	4,734.00	47.4400	4,744.00	10.00	
XLU	2015-01-02, 09:30:12	100		47.3400	4,734.00	47.4400	4,744.00	10.00	
XLV	-	100	1	69.3400	6,934.00	68.6300	6,863.00	-71.00	
XLV	2015-01-02, 09:39:10	100		69.3400	6,934.00	68.6300	6,863.00	-71.00	
Total					139,637.00		138,992.00	-645.00	

Trades

Symbol	Date/Time	Exchange	Quantity	T. Price	C. Price	Proceeds	Comm/Tax	Basis	Realized P/L	MTM P/L	Code
Stocks											
USD											
SPY	2015-01-02, 09:30:13	-	600	206.3400	205.4300	-123,804.00	-3.00	123,807.00	0.00	-546.00	P;O
SPY	2015-01-02, 09:30:13	ARCA	200	206.3400	205.4300	-41,268.00	-1.00	41,269.00	0.00	-182.00	P;O
SPY	2015-01-02, 09:30:13	ISLAND	400	206.3400	205.4300	-82,536.00	-2.00	82,538.00	0.00	-364.00	P;O

Trades

Total SPY		600					-123,804.00	-3.00	123,807.00	0.00	-546.00	
XLK	2015-01-02, 09:30:16	-	100	41.6100	41.2700		-4,161.00	-1.00	4,162.00	0.00	-34.00	O
XLK	2015-01-02, 09:30:16	ARCA	100	41.6100	41.2700		-4,161.00	-1.00	4,162.00	0.00	-34.00	O
Total XLK		100					-4,161.00	-1.00	4,162.00	0.00	-34.00	
XLU	2015-01-02, 09:30:12	-	100	47.3300	47.4400		-4,733.00	-1.00	4,734.00	0.00	11.00	O
XLU	2015-01-02, 09:30:12	IEX	100	47.3300	47.4400		-4,733.00	-1.00	4,734.00	0.00	11.00	O
Total XLU		100					-4,733.00	-1.00	4,734.00	0.00	11.00	
XLV	2015-01-02, 09:39:10	-	100	69.3300	68.6300		-6,933.00	-1.00	6,934.00	0.00	-70.00	O
XLV	2015-01-02, 09:39:10	IEX	100	69.3300	68.6300		-6,933.00	-1.00	6,934.00	0.00	-70.00	O
Total XLV		100					-6,933.00	-1.00	6,934.00	0.00	-70.00	
Total							-139,631.00	-6.00	139,637.00	0.00	-639.00	

Contract Information

Symbol	Description	Conid	Security ID	Multiplier
Stocks				
SPY	SPDR S&P 500 ETF TRUST	756733		1
XLK	TECHNOLOGY SELECT SECT SPDR	4215230		1
XLU	UTILITIES SELECT SECTOR SPDR	4215235		1
XLV	HEALTH CARE SELECT SECTOR	4215205		1

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
Ca	Cancelled	O	Opening Trade
Co	Corrected Trade	P	Partial Execution
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	PI	Price Improvement
ETF	ETF Creation/Redemption	Po	Interest or Dividend Accrual Posting
Ep	Resulted from an Expired Position	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ex	Exercise	R	Dividend Reinvestment
G	Trade in Guaranteed Account Segment	RED	Redemption to Investor
HC	Highest Cost tax basis election	Re	Interest or Dividend Accrual Reversal
HFI	Investment Transferred to Hedge Fund	Ri	Reimbursement
HFR	Redemption from Hedge Fund	SI	This order was solicited by Interactive Brokers

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
I	Internal Transfer	SL	Specific Lot tax basis election
IA	This transaction was executed against an IB affiliate	SO	This order was marked as solicited by your Introducing Broker
INV	Investment Transfer from Investor	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
L	Ordered by IB (Margin Violation)	ST	Short Term P/L
LD	Adjusted by Loss Disallowed from Wash Sale	T	Transfer
LI	Last In, First Out (LIFO) tax basis election		

DEMO ACCOUNT - SIMULATED TRADING